1	Ionospheric D region: Characteristics near Dawn and Dusk
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8	Key Points:
9	• 'Wait' D region electron number density parameters, height H' and sharpness
10	β , are determined through dawn and dusk for the first time
11	• At mid-latitudes H' shows a clear minimum at dawn consistent with the
12	release of cosmic ray generated electrons accumulated overnight
13	• H' and β through dawn and dusk are a delicate balance between D-region
14	ionization generated by solar EUV and galactic cosmic rays

15 Abstract

16 The characteristics of very low frequency (VLF) radio wave propagation in the Earth-17 ionosphere waveguide are determined particularly through dawn and dusk using 18 phase and amplitude measurements of man-made signals propagating below the 19 ionospheric D region. For the first time variations of 'Wait' height and sharpness 20 parameters, H' and β , have been determined for dawn and dusk conditions. These 21 measurements provide observational data to constrain D region modeling efforts, 22 extending the capabilities of VLF propagation monitoring for geophysical phenomena 23 such as lightning, solar flares, and energetic particle precipitation. At mid-latitudes, H'24 varied from ~85 km at night, then, starting from solar zenith angle (SZA) ~-97.5°, 25 rapidly down to ~73 km at dawn (SZA=-90°), then back up to ~78 km at SZA~-75° 26 and then down to the appropriate noon value for the latitude (and season). In contrast, 27 from noon through dusk to night, H' varied essentially monotonically from ~70-75 km 28 through ~80 km to ~85 km. At low latitudes no dawn minimum in H' was observed, 29 due to the reduced effect of galactic cosmic rays. Sharpness, β , varied from its nighttime value of ~ 0.6 km^{-1} down to a minimum of ~ 0.25 km^{-1} at SZA ~ 85° near 30 31 dusk or ~75° near dawn, rising again to (SZA-dependent) noon values of ~0.35-0.5 km^{-1} . The results are interpreted through the geophysical effects controlling D region 32 33 electrons, including the daytime dominant role of solar Lyman- α from low to mid-34 latitudes, and the greater role of galactic cosmic rays at increasingly higher mid-35 latitudes.

1. Introduction

37	Very Low Frequency (VLF) radio waves can propagate over very long distances
38	(thousands of km) in the Earth-ionosphere waveguide bounded below by the Earth's
39	surface (oceans/ground) and bounded above by the lowest edge of the Earth's
40	ionosphere (the lower D region) at heights \sim 70 km by day and \sim 85 km by night. VLF
41	radio propagation in the ionosphere is essentially controlled by free electrons; both
42	positive and negative ions occur in comparable concentrations but have no significant
43	effect at VLF because they are so much more massive than the free electrons (by
44	factors of >10,000).
45	In the quiet D region there are two principal sources of free electrons. The main one at
46	low and middle latitudes is UV from the daytime Sun, mainly Lyman- α , ionizing the
47	minor neutral constituent NO (to $NO^+ + e^-$); this is generally important (and
48	dominant) only above 65-70 km altitude because Lyman- α is absorbed by neutral O ₂
49	below these heights (Banks & Kockarts, 1973). This absorption by O_2 also results in
50	the ionization of NO being solar zenith angle (SZA) dependent and thus dependent on
51	time of day and latitude.
52	The other important ionizing source is galactic cosmic rays (GCR) which ionize all
53	the constituents in the neutral atmosphere, day and night, 24 hours a day. This
54	ionizing process tends to be dominant below heights of 65-70 km at low to mid-
55	latitudes because of the shielding effect of the Earth's geomagnetic field. In contrast,
56	at mid- to high latitudes GCR ionization tends to dominate up to greater heights, 70-
57	75 km or more, because of the lower shielding by the Earth's geomagnetic field there
58	and the Sun being lower in the sky (i.e., higher SZA) resulting in more absorption of
59	Lyman- α by O ₂ . At night virtually all of the free electrons below ~75 km become
60	effectively removed by rapidly attaching to the copious neutral O ₂ molecules at these

61	low altitudes forming negative ions. When daylight returns, visible light from the Sun
62	releases these electrons again from the negative ions (Peterson, 1976; Reid, 1987;
63	Thomas & Bowman, 1986; Thomas & Harrison, 1970; Verronen et al. 2006; see also
64	Banks & Kockarts, 1973).
65	VLF propagation in the Earth-Ionosphere waveguide has been found to be normally
66	remarkably stable in unperturbed conditions. Phase and amplitude perturbations in the
67	propagation have thus been able to be used extensively to monitor external
68	perturbations (in energy and height) such as from energetic particle (particularly
69	electron) precipitation from the Earth's radiation belts into the top of the waveguide
70	(e.g., Rodger et al., 2010). For convenience in making VLF propagation calculations,
71	VLF observations have been used successfully to characterize the electron densities in
72	the lower D region by determining the 'Wait' (Wait & Spies, 1964) height and
73	sharpness parameters, H' and β , under a variety of daytime and nighttime conditions.
74	These have included daytime at low latitudes (Thomson, 2010; Thomson et al., 2014),
75	daytime at mid-latitudes (Thomson et al., 2011a, 2017), and nighttime (Thomson et
76	al., 2007; Thomson & McRae, 2009), all of which used narrow-band VLF
77	transmissions from man-made transmitters. Broadband VLF from lightning has been
78	used to make similar measurements mainly over the US continental land mass,
79	(Cummer et al., 1998 and Cheng et al., 2005 at night; Han & Cummer, 2010 by day).
80	VLF propagation techniques have recently been used to determine D region
81	parameters by Kumar & Kumar (2020) and Chand & Kumar (2021) using VLF man-
82	made transmitters received in the South Pacific. VLF techniques have also recently
83	been used by McCormick et al. (2021) testing a new four-parameter H'/β model for
84	the <i>D</i> region, by Zhou et al. (2021) using timing of first and second lightning hops,
85	and by Chowdhury et al. (2021) from the International Reference Ionosphere (IRI-

86	2016, Bilitza, 2017) with VLF validation. Additionally, VLF techniques have been
87	used by Rozhnoi et al. (2021), Barman et al. (2024), and Basak et al. (2024) to study
88	solar eclipses, by Macotela et al. (2021) reporting a daytime spring-fall amplitude
89	asymmetry, and by Xu et al. (2021) and Worthington & Cohen (2021) using VLF
90	trans-ionospheric propagation together with the rocket-based Faraday International
91	Reference Ionosphere electron density model (FIRI, e.g., Friedrich et al., 2018).
92	
93	The determination and validation of energetic electron precipitation characteristics
94	impacting the atmosphere has been identified as a key component of solar forcing
95	descriptions in coupled-climate modeling studies (Matthes et al., 2017; Funke et al.,
96	2024). The use of VLF propagation measurements to calculate electron precipitation
97	fluxes has been an important factor in these efforts. Similarly effects of solar flares
98	have been extensively monitored (e.g., Thomson & Clilverd, 2001; Thomson et al.,
99	2005), as have occasional extra-galactic gamma-ray bursts (e.g., Fishman & Inan,
100	1988; Pal et al., 2023). Both the World-Wide Lightning Location Network (WWLLN,
101	e.g., Rodger et al., 2005) and the Global Lightning Dataset (GLD360, e.g., Said et al.,
102	2010) rely on VLF radio waves radiated from lightning flashes propagating up to
103	many thousands of km to the VLF receivers of their global networks. The world's
104	great naval powers communicate with their submarines from some of the same large
105	man-made VLF transmitters we use here. They make use of the large horizontal
106	ranges (many thousands of km) and the ability of very low frequencies to penetrate
107	seawater so allowing their submarines to remain submerged.
108	However, such efforts have been hampered by the difficulties in understanding
109	propagation conditions near sunrise and sunset (Clilverd et al., 2010; Neal et al.,
110	2015). A lack of detailed knowledge of VLF propagation conditions around sunrise

111 and sunset has also restricted electron precipitation validation studies (Clilverd et al., 112 2020). For many years, distinctive VLF anomalies have been observed on (long) 113 propagation paths that travel across a dawn/dusk terminator, particularly at dawn 114 (Crombie, 1964). These involve clear successive minima in amplitude, known as 115 modal minima, likely caused by destructive interference between new modes 116 generated by the D region characteristics rapidly changing with distance as the waves 117 travel across the terminator. These amplitude minima are often accompanied by rapid 118 phase changes known as cycle slips. Recently Chand & Kumar (2017) reported and discussed similar observations on long VLF paths recorded at Fiji in the South 119 120 Pacific. Quantitative explanations of these anomalies have been hindered by lack of D121 region propagation characteristics at dawn and dusk. In many of these cases the VLF 122 waves will at times travel from a nighttime region of the Earth to a daytime region or 123 vice-versa and so will propagate through a dawn/dusk transition requiring a model of 124 the appropriate dawn/dusk ionosphere in this transition region for predicting phase 125 and amplitude (as a function of time) at the receiver, to compare with observations. 126 However, very little attention has been given to characterize the *D* region in the dawn 127 and dusk transition regions between day and night. Hence observations of the D128 region near dawn and dusk, as here, are very desirable for modeling and testing 129 potential mechanisms in these transition regions. 130 A key aim of the current work is to provide the measurements to facilitate the 131 modelling of dawn and dusk propagation conditions. Such measurements will extend

132 the capabilities of VLF propagation monitoring for geophysical phenomena such as

133 lightning, solar flares, solar eclipses, geomagnetic storms, substorms, and energetic

134 particle precipitation. In order to accurately model propagation conditions over a wide

135 range of solar zenith angle (SZA), observations from specific transmitter–receiver

136	paths with near-constant SZA along the whole path are analysed. Interpretation is
137	made using long-wave propagation codes. The selection and modelling of these paths
138	are described in Section 2. The delicate balance between solar UV and galactic
139	cosmic ray effects is investigated within three different latitude bands. Section 3
140	presents the results for mid-latitude paths, with detailed analysis of specific paths.
141	Section 4 present results for the low-latitude paths, while Section 5 presents results
142	for the high latitude paths. The results are discussed in Section 6, including
143	comparisons between dawn/dusk conditions relative to the overall diurnal variations,
144	and existing rocket measurements. Summary and conclusions are given in Section 7.
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150 **2. Methods**

2.1 Selecting paths for VLF Observations

152 For a typical VLF path, at a fixed time of day, the SZA varies along the path from

- 153 transmitter to receiver making it not straightforward to determine the characteristics at
- a particular SZA. Paths greater in length than ~1000 km typically needed to be
- specifically chosen such that, at some convenient time of year when suitable VLF
- data was available, the SZA varied very little along the path at fixed times. This is
- 157 particularly the case for dawn or dusk, so that the characteristics of the path at
- 158 particular SZA could be determined. This meant that the path needed to align at least
- approximately with the sunrise or sunset, day/night-terminator at that time of year.
- 160 The 'convenient time of year' was preferably in the <~6 months of the year closest to
- summer solstice for calibrating the path's VLF propagation using mid-day
- 162 observations. The paths so chosen are shown in Figure 1. These include two very
- short paths (~300 km), from NPM in Hawaii (Thomson et al., 2014) and from NWC,
- 164 North West Cape, Australia (Thomson et al., 2012 & 2014). For these the SZA varies
- 165 very little along their short paths (which also happen to be at low latitudes). The
- 166 receivers at Eskdalemuir, St. John's, Reykjavik and Dunedin are part of the
- 167 AARDDVARK network (Antarctic-Arctic Radiation-belt (Dynamic) Deposition-VLF
- 168 Atmospheric Research Konsortium: e.g., Clilverd et al., 2009;
- 169 <u>http://www.physics.otago.ac.nz/space/AARDDVARK_homepage.htm</u>).
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171 **2.2 Determining** *D* region parameters, H' and β , from the VLF Observations

- 172 US Navy modal waveguide codes, ModeFinder (Morfitt & Shellman, 1976) and
- 173 LWPC (Long Wavelength Propagation Capability, Ferguson & Snyder, 1990; see also
- 174 Ferguson, 1998) are both designed to calculate phase (degrees) and amplitude (dB > 1

175	μ V/m) as functions of distance when supplied with transmitter frequency, radiated
176	power and ionospheric D region characteristics, H' and β , or (particularly for
177	ModeFinder) an electron density versus height profile. The observed phase and
178	amplitude, on each path at each time, are then compared with calculated values over
179	appropriate ranges of H' and β , to determine the actual H' and β at that time on that
180	path, as detailed in sections 3, 4, and 5 below. The H' and β so determined for each
181	path at each time are thus their averages along the path for each (constant) SZA.
182	There will, in reality, be some variation along these paths due to the latitudinal
183	dependence of galactic cosmic rays as mentioned in the Introduction above and in
184	Section 6.1 below.
185	
186	Quite often there is not much to choose between ModeFinder and LWPC; they both

180 Quite often there is not inder to choose between Model inder and EWPC, they both 187 give very similar results under most conditions (e.g., Thomson et al., 2017). Some 188 considerations in choosing, taken into account here, are briefly discussed below in 189 section 6.3.

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191 **3. Results: Mid-latitude Observations**

192 **3.1 NSY, on Sicily in the Mediterranean, to Cambridge, UK**

193 Figure 2 shows the morning (0-12 UT) phase and amplitude observations (top panels)

recorded at Oakington (52.26°N, 0.07°E), near (<~10 km N.W. of) Cambridge, UK, in

the summer of 2005 (30 July to 4 August) transmitted from the 45.9 kHz transmitter,

196 NSY (37.13°N, 14.44°E), 2023 km to the southeast as shown in Figure 1a. VLF phase

197 plots, such as those here, typically need to be corrected (adjusted), for convenience,

198 for small, fairly constant phase drifts and occasional (often random) phase jumps,

normally at the transmitter, to allow the resulting daily phase plots, over several days,

200	to superpose (approximately) as in Figure 2. Here, for NSY-Oakington, no phase
201	drift correction was actually needed, and the only phase jumps needing correction
202	were a very small number involving only multiples of 180° which is common for
203	MSK modulation (e.g., Thomson, 2010) as used by all the VLF transmitters here. The
204	middle panels of Figure 2 show the same phase and amplitude observations but with
205	an expanded time scale about dawn (~3.2-4.6 UT) with SZA = 90°, 97°, and 98° being
206	indicated with vertical dashed lines. The path was fairly well aligned with the sunrise
207	terminator (see Figure S1a in the Supporting Information, together with Figures S1b,
208	S1c, S1d S1k for all 11 paths); at dawn the SZA varied by ~2.5° (-90°±1.2°) along
209	the 2023-km path, while near SZA $\approx 97.5^\circ,$ it varied by only ~0.4° along the path.
210	Also shown in Figure 2 (bottom panels) are ModeFinder calculations of the phases
211	and amplitudes of NSY to be expected near Cambridge for a range of appropriate
212	parameters H' and β for the D region. The observational plots in the upper panels
213	have been calibrated (by vertically shifting each whole plot) so that their mid-day
214	values match the calculated values in the lower panels for $H' = 70.8$ km and $\beta = 0.42$
215	km ⁻¹ ; these H' and β values were estimated for the NSY-Cambridge path here from
216	the calibrated VLF observations of Thomson et al. (2011a, 2012). This removes the
217	need to know the actual power and phase radiated at the NSY transmitter.
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220 particular UT times in the upper panels were then used in the bottom panels to find

221 the values of H' and β at each of these selected UT times. The SZA at the mid-point

of the NSY-Oakington path was then determined at that time from either NOAA's

solar zenith angle calculator (<u>https://gml.noaa.gov/grad/solcalc</u>) or, in particular from

their spreadsheets, (<u>https://gml.noaa.gov/grad/solcalc/calcdetails.html</u>) or from

225	'Google Earth' by measuring the distance in degrees from the path mid-point to the
226	sub-solar point on the Earth's surface for the relevant time and date. The resulting
227	values of H' and β as functions of SZA are shown plotted in the top, left-hand panels
228	of Figure 3 (for H') and Figure 4 (for β) with black lines and black square plot
229	symbols. The SZA values in degrees are shown as negative before mid-day and
230	positive after mid-day in this report. Note that at night, on the far left of these plots,
231	$H' = ~85$ km and $\beta = ~0.7$ km ⁻¹ , very similar to previous observations (Thomson et
232	al., 2007; Thomson & McRae, 2009), while at mid-day, $H' = \sim 70.8$ km and $\beta = \sim 0.42$
233	km ⁻¹ as discussed above. Note, in particular, the clear minimum at $H' = \sim 72.5$ km near
234	dawn (SZA \approx -90°).
235	As can be seen in the middle panels of Figure 2, both the amplitude and phase of NSY
236	recorded at Cambridge start responding to the approach of dawn when the SZA at the
237	mid-point is between -98.0° and -97.5°. As mentioned above the variation of SZA
238	along the path is only $\sim 0.4^{\circ}$ in these conditions. In comparison, Reid (1987) reported
239	the average pre-dawn onset of mesospheric echoes from the 50-MHz radar at Poker
240	Flat in Alaska occurred around a mean SZA of 94 ° with only 7% of echoes occurring
241	with SZA > 96°. Reid (1987) also cites Sechrist (1968) as reporting VLF observations
242	(amplitude only) at Wallops Island, Virginia, showing pre-dawn changes at 94°, and
243	on one occasion at 98°. However, as discussed further below in section 6.5, Thomas &
244	Harrison (1970) found, using VLF and LF measurements on paths in the UK, that the
245	pre-dawn changes in the D region started at SZA~98°, very similar to the NSY-
246	Cambridge result found here.
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250 **3.2 ICV, on Sardinia in the Mediterranean, to Cambridge, UK**

251 Figure S2, in the Supporting Information, shows the phase and amplitude 252 observations (top and middle panels) recorded at Oakington near Cambridge, UK, in 253 the summer of 2005 (26 June-1 July) transmitted from the 20.27-kHz transmitter, ICV 254 (40.92°N, 9.73°E), 1459 km approximately to the southeast as shown in Figure 1a. 255 Also shown in Figure S2 (bottom panels) are ModeFinder calculations of the phases 256 and amplitudes of ICV to be expected at Oakington for a range of appropriate H' and 257 β for these conditions. The observations in the upper panels have been calibrated by 258 vertically shifting so that their mid-day values match the calculated values in the bottom panels for H' = 71.0 km and $\beta = 0.40$ km⁻¹. These H' and β values were 259 260 estimated for the ICV-Cambridge path here from the calibrated VLF observations of 261 Thomson et al. (2011a, 2012). As for most of the other transmitter-receiver-pair 262 recordings used here (including NSY, Sicily, in section 3.1 above), this removes the 263 need to know the actual power and phase radiated at the transmitter. 264 As with NSY-Oakington above, H' and β as functions of SZA were determined and 265 are plotted in the same (top, left-hand) panels of Figures 3 and 4 used in section 3.1 but with brown lines and brown diamond plot symbols. Clearly both the NSY and 266 267 ICV plots are rather similar though the ICV minimum in H' near dawn is slightly less deep (~73.5 km as opposed to ~72.5 km). Although both paths pass over the southern 268 269 European (Swiss-French-Italian) Alps, the NSY path is longer but has an alpine 270 section which is much shorter than the ICV path's alpine section (which runs along 271 the mountainous French-Italian) border. The NSY path results will thus be assumed to 272 be likely to be more reliable than the ICV results. 273

276 **3.3 Central France northwards to Cambridge, UK (~600 km)**

277 The VLF transmitter at 46.71°N, 1.25°E (in central France near Rosnay), is referred

- here to as 'FRA', as it was in Thomson (1993). Two recorded periods are used here:
- for the first, 14-20 June 2005, FRA was radiating on 21.75 kHz while for the second,
- 280 20-26 June 2005, FRA radiated on 18.3 kHz. The path is shown in Figure 1a.
- 281 Unfortunately FRA was not using a conventional stable phase, but rather its phase
- was continually (quasi-) randomly scattered. Our receivers could track this and so we
- could record valid amplitudes but the phase itself was too unpredictable for us to use.
- This phase issue might have been resolvable but, at the time, we were unable to
- record the phase close to the transmitter as well as at the receiver at Oakington. Hence

we use only amplitude for FRA-Oakington here; thus the observational plots and

287 ModeFinder calculation plots in Figure S3 are for amplitude only. To enable β to be

determined, *H'* was assumed to vary with SZA as for NSY-Oakington except that in

some instances H' needed to be adjusted slightly, e.g., at mid-day where H' = 72.0 km

and $\beta = 0.38 \text{ km}^{-1}$ was estimated from Thomson et al. (2011a, 2012). The resulting H'

and β as functions of SZA are plotted in the same (top, left-hand) panels of Figures 3

and 4 used in section 3.1 but using cyan (18.3 kHz) and blue (21.75 kHz) for the lines

and plot symbols. While the H' plots for the two FRA frequencies are necessarily

rather similar to the corresponding NSY plot (because both are derived from the NSY

295 *H'* data), the β plots are rather similar too indicating the FRA data is providing some

support through its apparent consistency with the NSY and ICV data.

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300	3.4 NAU, Puerto Rico, Caribbean, to St. John's, NFL, Canada (~3500 km)
301	Figure S4 shows phase and amplitude observations (upper panels) recorded at St
302	John's (47.60°N, 52.68°W), Newfoundland, Canada, 11-18 June 2013, from the
303	40.75kHz transmitter, NAU (18.40°N, 67.18°W), 3497 km to the southwest as shown
304	in Figure 1b. The data are analysed during the period around dusk in June, when the
305	SZA varied by only ~4° (~90° \pm 2.5°) along the 3497-km path. Also shown in Figure
306	S4 (bottom panels) are the appropriate ModeFinder calculations of the phases and
307	amplitudes. Again, the observational plots in the upper panels have been calibrated
308	(by vertically shifting each whole plot) so that their mid-day values match the
309	calculated values in the bottom panels for $H' = 70.5$ km and $\beta = 0.43$ km ⁻¹ , as in
310	Thomson et al. (2014).
311	The resulting values of H' and β as functions of SZA, which have previously been
312	reported in Thomson et al. (2014), are shown plotted in a slightly different form and
313	to higher values of SZA, in the top, right-hand panels of Figure 3 (for H') and Figure
314	4 (for β) with the black line and black square plot symbols. Note that at night, on the
315	far right of these plots, $H' = -84$ km and $\beta = -0.6$ km ⁻¹ , in line with previous
316	observations on other paths (Thomson et al., 2007; Thomson & McRae, 2009), while
317	at mid-day, $H' = \sim 70.5$ km and $\beta = \sim 0.43$ km ⁻¹ as discussed above. Note, in particular,
318	there is no deep minimum near dusk (SZA = 90°), like that of $H' = \sim 73$ km near dawn
319	in the top-left panel of Figure 3. The dusk variation of H' versus SZA shown here is,
320	in fact, quite similar to that reported by Thomson (1993) from other earlier paths.
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3.5 Central France northwards to Cambridge, UK (~600 km)

325	As in section 3.3 above, we again use only amplitude for FRA-Oakington, using the
326	plots in the top panels of Figure S3 but for dusk rather than dawn. To enable β to be
327	determined, H' at dusk was assumed to vary with SZA as for NAU to St. John's (as in
328	section 3.4 above) except that again, in some instances, H' needed to be adjusted
329	slightly, e.g., at mid-day where again we took $H' = 72.0$ km and $\beta = 0.38$ km ⁻¹ for
330	FRA-Oakington. The resulting H' and β as functions of SZA are plotted in the same
331	(top, right-hand) panels of Figures 3 and 4 used in section 3.4 but using cyan (18.3
332	kHz) and blue (21.75 kHz) for the lines and plot symbols. While the H' plots for the
333	two FRA frequencies are necessarily rather similar to the corresponding NAU plot
334	(because both are derived from the NAU H'-data), the β plots can be seen to be rather
335	similar too, indicating the FRA data is again providing some support by being
336	consistent with the NAU data.

4. Results: Low Latitude Observations

339 4.1 NPM, Oahu, Hawaii, to Keauhou, Big Island, Hawaii (306 km)

- Thomson et al. (2014, figure 4) measured the phase and amplitude of the 21.4 kHz
- U.S. Navy transmitter, NPM (21.42°N, 158.15°W) on Oahu, as received, 306 km
- away over a nearly all-sea path, at Keauhou (19.58°N, 155.97°W) on the west coast of
- 343 the 'Big Island' of Hawaii (Figure 1d), in the period 19-25 August 2012. These
- 344 measurements were then used, together with ModeFinder calculations, to determine
- 345 *H'* and β as functions of SZA at this low latitude, ~20°N (geographic and
- 346 geomagnetic: <u>https://www.ncei.noaa.gov/sites/default/files/2022-03/Geomagnetic</u>
- 347 <u>Coordinates.pdf</u>). These H' and β values are plotted as red lines with red diamond plot
- 348 symbols in the middle panels of Figures 3 and 4 respectively, on the left for the
- 349 morning values and on the right for the afternoon values. Two extra dB/deg data

350 points, one from 16 UT (87 dB, 40°) and the other from 5 UT \equiv 29 UT (86 dB, 54°) 351 from Thomson et al. (2014, figure 4) were used here with the extended, NPM-352 Keauhou, ModeFinder plots in Figure S5 to generate the two extra SZA data points $(H' = 83.0 \text{ km}, \beta = 0.255 \text{ km}^{-1} \text{ and } H' = 82.5 \text{ km}, \beta = 0.260 \text{ km}^{-1}) \text{ not shown in}$ 353 354 Thomson et al. (2014) but shown in Figures 3 and 4 here. It is immediately clear in 355 Figure 3 that, for H', the clear minimum (\sim 73 km) at dawn at mid-latitudes does not 356 occur for the low latitude of Hawaii while, in the afternoon and at dusk (top and 357 middle, right-hand panels), the variation of H' with SZA shows similarities at both 358 mid- and low latitudes. 359

360 4.2 NWC, North West Cape, Australia, to Karratha, Australia (300 km)

361 As well as reporting VLF measurements of NPM in Hawaii together with the

resulting H' and β as functions of SZA used in section 4.1 above, Thomson et al.

363 (2014) also compared these with H' and β as functions of SZA for the ~300-km, low

latitude path from NWC (21.82°S, 114.17°E) to Karratha (Millars Well, 20.74°S,

365 116.82°E) in northwest Australia (Figure 1e) in October 2011. Figure S6 (top panels)

366 shows the underlying phase and amplitude measurements together with (lower panels)

367 the appropriate ModeFinder calculations used there and further used again here to

368 extend the H' and β results to slightly higher SZA's (similar to the small extensions

369 for NPM in section 4.1 above). More details about these NWC-Karratha observational

techniques are given by Thomson et al. (2012); the techniques were very similar to

those for NPM-Keauhou, above.

372 These *H'* and β values are plotted as functions of SZA using brown lines with open 373 '+' plot symbols in the middle panels of Figures 3 and 4 respectively, on the left for 374 the morning values and on the right for the afternoon values. It can thus be seen that 375 the H' plots for NWC-Karratha are rather similar to those for NPM-Keauhou; in 376 particular neither of these two low latitude plots shows the marked minimum at dawn 377 which was apparent for the mid-latitude paths (NSY and ICV to Oakington). The 378 geographic latitude of the NWC-Karratha path (~20° S) is very similar in magnitude 379 to the geographic latitude of the NPM-Keauhou path (~20° N) but the magnitude of 380 the geomagnetic latitude of the NWC path is quite a bit higher, $\sim 31^{\circ}$ S (versus 20° for 381 the NPM path). Thus the increased GCR at this somewhat higher latitude is not 382 sufficient to produce a discernable dawn minimum.

383

384 **4.3 NWC, North West Cape, Australia, to Uji, Kyoto, Japan (6,680 km)**

Araki et al. (1969) reported the variations with time (JST = UT+9) of the observed

average phase and amplitude of NWC, when radiating on 15.5 kHz, across the

equator (Araki, 1973), and observed at Uji (34°54'N, 135°48'E), Kyoto, Japan (Figure

1c), during the period 31 July – 7 August 1968. The path was fairly closely aligned

389 with the dusk terminator during this period; the alignment with the dawn terminator

390 was only rather approximate but the absence of clear mode conversion effects near

dawn probably indicates that the alignment will none-the-less suffice.

392 The bottom two panels of Figure S7 show the results of LWPC calculations of phase,

393 in degrees, and amplitude, in dB > 1 μ V/m, for appropriate values of H' and β for a

radiated power of 1.0 MW at 15.5 kHz. From Thomson et al. (2012), the (average)

395 mid-day parameters for the *D* region on this trans-equatorial path in 1968 (high solar

maximum) were estimated to be H' = 69.5 km and $\beta = 0.50$ km⁻¹, which, as can be

397 seen in the LWPC-calculated plots in the (bottom panels) of Figure S7, gives 29° and

398 66.5 dB at mid-day. These two values were then used to normalize the phase and

amplitude observations in Araki et al. (1969) which are shown, as functions of JST, in

400 the top two panels of Figure S7, thus enabling H' and β to be determined, from the

401 bottom panels, for each time (and hence subsequently each SZA), in a similar way to

402 that in section 3.1. The resulting H' and β as functions of SZA (many of which were

- 403 reported in Thomson et al., 2014) are shown, using violet lines with violet circles as
- 404 plot symbols in the middle two panels of Figures 3 and 4 respectively, on the left for
- 405 the morning values and on the right for the afternoon values. Again there is no
- 406 minimum in *H*' near dawn for this fairly low latitude trans-equatorial path as was also
- 407 seen for the other low latitude paths above (sections 4.1 and 4.2). The geomagnetic
- 408 latitude of Kyoto is also low at about 26°N.
- 409

410 4.4 NPM, Oahu, Hawaii, to Dunedin, NZ (8090 km)

411 The path from NPM in Hawaii to Dunedin, NZ (Thomson et al., 2011b & 2012) is

412 mainly low latitude (Figure 1f), passing ~southwest across the equator, but becomes

- 413 mid-latitude for the final part from $\sim 30^{\circ}$ S to the Dunedin receiver (45.79°S,
- 414 170.48° E), for which the geomagnetic latitude is ~49°S
- 415 (https://www.ncei.noaa.gov/sites/default/files/2022-03/Geomagnetic
- 416 <u>Coordinates.pdf</u>).
- 417 Figure S8 (upper four panels) shows the measured phases and amplitudes (normalized
- 418 at mid-day to 378° and 53.2 dB (using H' = 70.6 km and $\beta = 0.45$ km⁻¹), as functions
- 419 of time-of-day, in UT, for the period 16-22 January 2017 UT during which the dawn
- 420 terminator is fairly closely aligned with this (~8.1 Mm) path. Also shown (two bottom
- 421 panels) are LWPC calculations for phase and amplitude at Dunedin for a range of
- 422 appropriate values (as averages along the path) of H' and β , thus enabling specific
- 423 values of H' and β , to be determined for a range of morning values of UT and hence
- 424 SZA. These are shown plotted in the middle, left-hand panels of Figures 3 and 4,

respectively, from which it can be seen that there is a minimum at dawn (SZA=-90°, $H' = \sim 76.5$ km), but this minimum is markedly less deep than that ($H' = \sim 73$ km) for a fully mid-latitude path (e.g., NSY-Oakington, top left panel Figure 3), consistent with the NPM-Dunedin path being partly at mid-latitudes, though mainly at low latitudes

- 429 where GCR electrons are contributing only modestly to the lowest ionosphere.
- 430

431 **5. Results: High Mid-Latitude Observations (~53°-65° Geomagnetic)**

432 **5.1 DHO, North Germany to Eskdalemuir, Scotland (748 km)**

433 As shown in Figure 1a, VLF transmissions from DHO (53.08°N, 7.61°E) after

434 travelling 748 km, mainly across the North Sea, were recorded at Eskdalemuir

435 (55.31°N, 3.21°W) in Scotland, during the period 4-19 July 2015 UT. These

436 recordings were used in Thomson et al. (2017) to determine H' and β , for this high

437 mid-latitude path, as functions of SZA up to ~75°. Here these results are extended to

438 SZA's beyond 90°. Figure S9 (upper four panels) shows the measured phases and

439 amplitudes as functions of UT, normalized at mid-day as in Thomson et al. (2017), at

440 120° and 68.8 dB using H' = 72.8 km and $\beta = 0.345$ km⁻¹ in ModeFinder; also shown

441 (bottom two panels) are plots of ModeFinder-calculated phases and amplitudes for

442 appropriate ranges of H' and β .

443 The bottom left-hand panels of Figures 3 and 4 show, as green lines and diamond plot

444 symbols, the resulting morning values of H' and β as functions of SZA for the DHO-

Eskdalemuir path. As can be seen, this high mid-latitude path also shows a deep

446 minimum in H' at dawn (SZA=-90°, $H' = \sim 72.8$ km), consistent with enhanced

447 electron density in the lowest *D* region, as the visible light of dawn releases electrons,

- 448 generated overnight by GCR, from their attached negative ions. The bottom right-
- hand panels of Figures 3 and 4 show the resulting afternoon values of H' and β as

450 functions of SZA for the DHO-Eskdalemuir path. The dawn terminator is fairly well 451 aligned with the path during the July measurements here; the SZA varies by only 452 ~3.5° along the path at dawn (SZA~-90°). At dusk it is not well aligned with the dusk 453 terminator, but the path is short resulting in the SZA at dusk varying only ~6.5° along 454 its 748-km length. It thus seems likely that mode conversion should not be too 455 significant, at least below SZA~+90°, before β starts to change more rapidly with 456 SZA.

457

458 5.2 NAA, Maine, USA, to St. John's, Newfoundland, Canada (1173 km)

459 As indicated in Figure 1b, VLF transmissions from NAA (44.64°N, 67.28°W), after

460 travelling over a mixed land-sea path, were recorded at St. John's, Newfoundland

461 (47.60°N, 52.68°W) during the period 1-28 June 2019. The SZA changes along the

462 length of this 1173-km path by $\sim 10^{\circ}$ near dawn and by $\sim 7^{\circ}$ near dusk. The path is

463 ~50% over the sea and ~50% over land; this land has low electrical conductivity

464 (Morgan, 1968; ITU-R, 2015) mainly ~0.001 S/m but some parts have ~0.0003 S/m

465 near St. John's (compared with western Europe at mainly ~0.01 S/m). Figure S10

466 (upper four panels) shows the measured phases and amplitudes as functions of UT,

467 normalized at mid-day as in Thomson et al. (2017), at 313° and 74.8 dB using H' =

468 72.0 km and $\beta = 0.33$ km⁻¹ in LWPC; also shown (lower two panels) are plots of

469 LWPC-calculated phases and amplitudes for appropriate ranges of H' and β . The

470 resulting morning values of H' and β as functions of SZA for the NAA-St. John's path

471 are shown in blue (triangular plot symbols) in the left-hand bottom panels of Figures

472 3 and 4 respectively while the corresponding plots for the afternoon values are shown

473 in the right-hand bottom panels. Interestingly there are small, marginally significant,

474 minima at dusk (SZA=+90°) in both of the H' curves at high-mid-latitude (NAA-St.

John's and DHO-Eskdalemuir); these small minima may not be significant or may bedue to mode-conversion discussed briefly in section 6.1 below.

477

5.3 22.1 kHz from Skelton, North England, to Reykjavik, Iceland (1487 km) The 22.1-kHz transmitter at 54.73°N, 2.88°W near Skelton, Cumbria, England, is

480 referred to here as 'GQD' as in Thomson et al. (2018) and in Koh et al. (2019). This

481 same 22.1-kHz transmitter near Skelton has also been referred to as 'GVT' (e.g.,

482 Clilverd et al., 2020). The 1478-km path from GQD, Skelton, to Reykjavik (64.11°N,

483 21.79°W) travels ~northwest over Scotland (Figure 1a) but is ~75% over the sea, with

484 <~10% of the path over low conductivity ground (~0.001 S/m), and with the rest of

the land with good conductivity (0.01 S/m). Results from this path have been reported

486 by Thomson et al. (2018) but a wider range of times-of-day and SZA are used here.

487 Figure S11 shows (upper four panels) the measured phases and amplitudes as

488 functions of UT, for the periods 19-25 July 2016 and 19-25 August 2016 normalized

489 at mid-day for the July period at 11.5° and 64.6 dB using H' = 73.5km and $\beta = 0.33$

490 km⁻¹ in LWPC and for the August period at 14.5° and 64.5 dB using H' = 73.5km and

491 $\beta = 0.32 \text{ km}^{-1}$ in LWPC; also shown (lowest two panels) are plots of LWPC-

492 calculated phases and amplitudes for appropriate ranges of H' and β . The resulting

493 morning values of H' and β , as functions of SZA for this GQD-Reykjavik path, are

shown in the bottom left-hand panels of Figures 3 and 4 respectively. The dawn

terminator is well aligned with the path during the July period but somewhat less so

496 for August; the SZA varies by only ~1° along the path at dawn (SZA~-90°) in July but
497 for August varies ~5°.

498

499 **6. Discussion**

500 Dawn/dusk propagation conditions have been considered for three separate latitudinal 501 ranges. The assumption made is that latitudinal differences in the D-region response 502 to solar EUV and GCR forcing are large in comparison to any longitudinal variations. 503 However, we note here that the low-latitude analysis presented here uses paths that 504 are primarily focused on the Pacific region, while the mid and mid-high latitude 505 analysis relies on paths focused on the North Atlantic region. This experimental 506 restriction on any longitudinal interpretation is an area for future work, requiring new 507 datasets to be developed. At mid-high latitudes the balance between geographic 508 coordinate systems and geomagnetic coordinate systems could be a factor in 509 considering longitudinal variations in D-region responses to dawn/dusk conditions, 510 especially in response to the geomagnetic field effect on GCR intensity. An example 511 of this is shown in Figure 3 of Clilverd et al. (1991) where geomagnetic longitude 512 contours (in the form of L-shell contours) are over-plotted on a geographic map and 513 show substantial variations in the Atlantic region compared with the Pacific. Neverthe-less, the analysis presented here clearly shows the importance of SZA on D-region 514 515 electron concentration profiles, as is discussed in more detail below.

516 **6.1 Dependency of** *H*' **on** SZA

517 In Figure 3, aside from the pronounced minima at dawn (SZA=-90°) at mid-latitudes,

518 the variations of H' with SZA are observed to be broadly quasi-Chapman-like as

reported by Thomson (1993). Away from dawn and dusk, D region electron density

520 profiles, at least at low and middle latitudes, are largely generated by 121.6 nm solar

- 521 Lyman- α ionizing the minor neutral constituent NO \rightarrow NO⁺ + e⁻, but with the
- 522 Lyman- α depth penetration being controlled through absorption by the major neutral
- 523 constituent O_2 (Banks & Kockarts, 1973). This results in Lyman- α ionization

526	Below 65-70 km, ionization is dominated by galactic cosmic rays continuously
527	(partially) ionizing all neutral constituents. This is essentially offset by the free
528	electrons so generated rapidly becoming attached to some of the abundant neutral O_2
529	molecules forming negative ions, $O_2 + e^- + M \rightarrow O_2^- + M$, where M is another
530	neutral molecule, typically O_2 or N_2 , enabling conservation of both momentum and
531	energy (Banks & Kockarts, 1973). During the night these, in turn, exchange with
532	other neutral species forming other (hydrated) negative ions such as CO_3^- and NO_3^-
533	(e.g., Reid, 1976, 1987; Verronen et al., 2006) which, all being so much more massive
534	than free electrons, have essentially no effect on VLF radio waves. Thus essentially
535	no free electrons are observed at these low altitudes at night.
536	Photo-detachment at dawn from the CO3-based ions requires only visible light while
537	UV is needed for the NO ₃ -based ions. As dawn approaches, the first changes in the
538	VLF phases and amplitudes are seen when the SZA is between -98° and -97.5°
539	(section 3.1 above) which is presumably due to visible light, because of the nearly
540	horizontal incoming sunlight being depleted of UV by low altitude ozone (e.g.,
541	Macotela et al., 2019). Photo-detachment at dawn from the NO ₃ -based ions will
542	presumably come later. Hence when sunlight returns around dawn, the electrons are
543	photo-detached from these negative ions accumulated during the night, resulting in
544	more cosmic ray generated free electrons at these low altitudes than at any other time
545	of day and is likely to account for the marked minimum in H' of ~73 km seen at dawn
546	at mid-latitudes in Figure 3. This is also believed to be the cause of the VLF phase
547	overshoots often observed at dawn (e.g., Macotela et al., 2019, and references therein;
548	see also, e.g., top left panels of Figures 2, S2, S8, and S9). Detailed D-region

549 chemistry simulations are required to definitively show that this is the cause. In 550 contrast, no minimum in H' at dawn can be seen at low latitudes (middle, left-hand 551 panel of Figure 3) for the observations of NPM in Hawaii or for the trans-equatorial 552 path NWC to Kyoto (~31°S through 0° to 26°N geomagnetic), and only a tentative 553 small minimum (at $H' \sim 81$ km) can be seen for the short NWC-Karratha path (~30°S). 554 This is likely to be due to the markedly smaller galactic cosmic ray intensity at these 555 low latitudes (Neher & Anderson, 1962; Heaps, 1978). This middle, left-hand panel 556 of Figure 3 also shows a moderate dawn minimum (~76.5 km) for the trans-equatorial path NPM to Dunedin, NZ (~21°N through 0° to 49°S geomagnetic) for which ~70% 557 558 is low latitude and ~30% is mid-latitude. Further, all the high mid-latitude paths in 559 Figure 3 (bottom, left-hand panel) show the marked dawn minimum (72-73 km). In 560 contrast, at dusk, in Figure 3 (right-hand panels) there are no minima in H' or only 561 relatively minor (even tentative as in the top panel) minima (near SZA=90°). These 562 latter minor minima may not be significant or may possibly be due to mode-563 conversion issues caused by rapidly changing H' and β near and after dusk. VLF 564 mode-conversion (e.g., Pappert & Snyder, 1972) occurs when some property (e,g., H', 565 β , ground conductivity etc.) of the Earth-ionosphere waveguide changes over a short 566 distance $\langle \lambda \rangle$ (a wavelength) causing some power to scatter into one or more new 567 modes resulting in interference between modes. 568 Figure 3 (bottom panels) also shows that, away from dawn and dusk, variations of H'569 with daytime solar zenith angle tend to be smaller at higher path latitudes probably 570 mainly due to the greater influence of (SZA-independent) galactic cosmic ray 571 electrons at these higher geomagnetic latitudes. At night, shortly before dawn, the H'572 values reported here are mainly 84-85 km consistent with the nighttime H'

573 observations of Thomson et al. (2007) and Thomson & McRae (2009). Shortly after

574 dusk they tend to be a little (\sim 1 km) lower probably consistent with the *D* region 575 taking up to \sim 1-2 hours or so to settle into night conditions.

576

577 6.2 Dependency of β on SZA

578 Apart from near dawn and dusk, β shows the clear trend, in Figure 4, of decreasing with increasing SZA from a maximum near noon. This is due to the higher altitude 579 580 Lyman- α electrons decreasing as the Sun gets lower in the sky while the lower 581 altitude galactic cosmic ray electrons remain constant, being largely SZA independent 582 (Thomson & Clilverd, 2001; Thomson et al., 2014). At dawn and dusk, as can be 583 seen, β changes generally fairly rapidly with SZA, particularly at dawn, from nighttime values of typically $\sim 0.6-0.8 \text{ km}^{-1}$ to high-SZA daytime values of $\sim 0.25-0.3$ 584 km⁻¹. Not all paths show nighttime β values of ~0.6-0.8 km⁻¹. There are a variety of 585 586 reasons for this (which may also apply for nighttime values of H' here on these paths). 587 In the case of the short path NPM-Keauhou, no automated recording was available 588 and it was not convenient to continue the manual recordings at night. For the short 589 path NWC-Karratha the situation was somewhat similar compounded, as discussed in 590 Thomson et al. (2014), by low sky-wave amplitude and low ground conductivity. 591 Trans-equatorial paths, such as NWC-Kyoto and NPM-Dunedin used here, have been 592 found to be frequently anomalous at night though not by day nor, as found here, 593 largely not during dawn/dusk transitions. As noted above, the path ICV-Cambridge 594 (section 3.2) with significant parts over mountain ranges and the path NAA-St. John's 595 (section 5.2) largely over low conductivity ground are also liable to have nighttime 596 anomalies (Thomson et al., 2007; Thomson & McRae, 2009). None-the-less, the paths 597 NSY and FRA to Cambridge, NAU to St. John's, DHO to Eskdalemuir and GQD to

598 Reykjavik (in August) here provided regular nighttime parameters (even though the599 night was fairly short for the last two).

600

601 **6.3 Early US Navy Recommendations and Waveguide Codes**

- 602 The US Navy's LWPC propagation code for VLF contains an optional 'LWPM'
- 603 ionospheric model which has some limited SZA-dependence (Ferguson, 1998). In this
- 604 model, H' and β are constants by day at 74 km and 0.3 km⁻¹, respectively, for all
- 605 daytime SZA, unless SZA becomes >90° when, H' and β both increase stepwise with
- 606 SZA (90° through 99°) with H' increasing from 74 km to 87 km (at night), and β
- 607 increasing from 0.3 km⁻¹ to 0.5 km⁻¹ (at night). H' and β then maintain these constant
- 608 nighttime values of 87 km and 0.5 km⁻¹, respectively, for all nighttime SZA.
- 609 This internal ('LWPM') ionospheric model was not used here because it is outdated.
- 610 We used LWPC or ModeFinder only with user supplied (*D* region) ionospheric data
- 611 (either as H' and β or as electron density versus height profiles). LWPC has its own
- 612 internal conductivity map and so is to be preferred over ModeFinder when there is
- 613 significant low conductivity ground, particularly if this varies along the path, such as
- for NAA to St. John's here. LWPC may be preferable, on occasion, because it
- 615 calculates the geomagnetic field parameters in each segment along the path.
- 616 ModeFinder is also more suitable for comparing with loop antenna (i.e., magnetic
- 617 field) observations on short (~300 km) paths (Thomson, 2010; see also Thomson et al.
- 618 (2014 & 2017). ModeFinder appears to be more stable at higher VLF/LF frequencies,
- appearing to be less likely to miss modes; it can also be simpler to use, particularly
- 620 with electron density versus height profiles. Most of these issues were found to be not
- 621 very significant for the accuracies required here.

those that varied slowly along their lengths such as the terminator-aligned paths here.
However, a mode-conversion code such as LWPC will definitely be required for
calculations on paths across a dawn/dusk terminator, using our new rapidly changing
(with SZA) path parameters shown in Figures 3 and 4, unless the path is very short
(~few hundred km) or the path is aligned within a few degrees of the terminator.

LWPC was typically not needed (nor preferred to ModeFinder) for short paths or

628

622

629 6.4 Rocket Comparisons

630 Mechtly & Smith (1970) reported rocket observations near dawn at Wallops Island,

631 Virginia, USA (38°N, 70°W). The electron number densities were measured as

632 functions of height using a combination of probe currents and radio propagation

633 (Faraday rotation together with differential absorption). Data from their dawn profile

taken at SZA=90° on 24 July 1968 is reproduced here in Figure 5 (green circles).

635 Using this profile in ModeFinder for the NSY-Oakington path (as described above in

636 section 3.1) results in a calculated phase at the receiver of $-106^\circ = 254^\circ \pmod{360^\circ}$

637 which is 10 ° higher than the observed phase (at SZA=90°) of 244°. When the rocket

638 profile is raised by 2 km (shown with blue circles in Figure 5), the ModeFinder

639 calculated phase at the receiver became $-120^\circ \equiv 240^\circ$, slightly lower (by 4 °) than the

observed phase. However the calculated amplitudes, 56.2 dB for the actual rocket

641 profile and 59.3 dB for the raised profile, were significantly higher than the 54.3 dB

642 actually observed. These higher amplitude are likely due to the rocket techniques not

adequately measuring the electron densities below 60-65 km (e.g. Thomson et al.,

644 2022).

As shown in our Figures 3 and 4, the VLF technique used here on the NSY-

646 Cambridge path gives H' = 72.75 km and $\beta = 0.378$ km⁻¹ for SZA = -90.2° at dawn

647	(and $H' = 72.2$ km and $\beta = 0.364$ km ⁻¹ for SZA = -89.2°). Figure 5 shows, as the solid
648	black line, the corresponding electron number densities from ModeFinder, using $H' =$
649	72.75 km and $\beta = 0.378$ km ⁻¹ , resulting in the observed amplitude of 54.3 dB and
650	phase of -116° at the Cambridge receiver. Clearly this VLF profile matches well with
651	the height of the Mechtly & Smith (1970) rocket profiles but has more electrons
652	below ~60 km; these low altitude electrons are immersed in the higher density neutral
653	atmosphere there and so have high (electron-neutral) collision frequencies, resulting
654	in the higher VLF attenuation as actually observed. VLF propagation by day is
655	generally not sensitive to electron densities above height, H' (e.g., Thomson et al.,
656	2022, and references therein); in Figure 5 the orange line at 71 km is indicating the
657	greatest height at which the VLF propagation on this NSY-Cambridge path was found
658	here (using ModeFinder) to be sensitive to the electron number density.
659	
660	Also shown in Figure 5 for further comparison is the rocket-derived FIRI-2018
661	(Friedrich et al., 2018) electron density profile for an SZA of 90° for July at 45°
662	latitude (and solar activity $F10.7 = 130$ sfu though this is non-critical). As can be
663	seen, the FIRI electron densities are somewhat lower than the others consistent with
664	the comparisons of Thomson et al. (2022) who found generally good agreement
665	between VLF-derived electron density profiles and FIRI-2018 at their common
666	altitudes except at the lowest altitudes at (high) mid-latitudes, due to the lower
667	sensitivity of the rocket technique at the lowest altitudes and the significant galactic
668	cosmic ray generation of electrons there.
(())	

6.5 Early Ground-Based Radio Observations in the UK and Australia

671	Thomas & Harrison (1970) used VLF and LF measurements (typically reflection and
672	polarization coefficients) recorded in the UK in the summer months of 1948-1950,
673	together with full-wave calculations similar to those of Pitteway (1965) to calculate
674	pre-sunrise electron density versus height profiles over a range of SZA (>105°, 99°,
675	98°, 97°, 95°, 93°, 91.5°, and 90°). The first two of these profiles (SZA >105° & 99°,
676	i.e., essentially nighttime) agree quite well with the much more recent nighttime
677	rocket-based FIRI (Friedrich et al., 2018) and VLF-based profiles presented in
678	Thomson et al. (2022). As the SZA decreases from 97° to 90° the Thomas & Harrison
679	(1970) profiles descend from ~80 km to ~60 km with the peak electron densities
680	increasing from $\sim 2 \times 10^7$ m ⁻³ to $\sim 1.4 \times 10^8$ m ⁻³ (at 65 km at 90°). To compare with our
681	more recent results, their lowest profile (i.e., for $SZA = 90^{\circ}$) was entered into
682	ModeFinder for our, similar-latitude, ~748-km path, DHO (Germany) to Eskdalemuir
683	(Scotland) resulting in 68.5 dB and 204° for the calculated amplitude and phase at the
684	receiver. While the amplitude is quite similar to that actually observed, their profile
685	phase of 204° is quite a lot higher than the ~120° actually observed (Figure S9, in our
686	Supporting Information) which means their dawn (SZA = 90°) profile is likely to be
687	too low in height (by ~4 km). Also, by comparing with our Figure 5 (where our H'/β
688	profile for NSY-Oakington there is very similar to that for DHO-Eskdalemuir), it can
689	be seen their electron density at ~ 65 km appears to be too high by a factor of ~ 3 .
690	None-the-less their results are impressive for such early observations.
691	Smith et al. (1967) determined D region electron densities above Armidale, NSW,
692	Australia (30.5°S, 151.5°E geographic, ~36°S geomagnetic) during ~1963-65 using a
693	medium frequency (~1-2 MHz) pulsed cross-modulation technique, from night
694	through dawn to mid-day. Their electron number densities from night to dawn
695	(SZA=-90°) are very broadly similar to, though somewhat less than, the UK values of

696	Thomas & Harrison (1970). This is consistent with the Armidale results being at a
697	lower geomagnetic latitude than those in the UK (~36° versus ~55°), resulting in
698	lower cosmic ray intensity there. The Armidale peak electron density at SZA=-90°
699	(dawn) at a height of 65 km was $\sim 8 \times 10^7$ m ⁻³ , closer to our $\sim 7 \times 10^7$ m ⁻³ (Figure 5,
700	65 km) than the UK value ~14 \times 10 ⁷ m ⁻³ of Thomas & Harrison (1970). When the
701	(SZA=-90°) Armidale electron densities are used in ModeFinder for our NSY-
702	Oakington path, the predicted phase and amplitude, -107° and 55.2 dB, are fairly
703	similar to the observed values, -117° and 54.4 dB. Most of the phase difference can be
704	removed by raising the Armidale profile by just over 1 km, while the higher
705	amplitude for the Armidale profile is probably due to it having too few electrons
706	below ~60 km. However, the geomagnetic latitude of the mid-point of our NSY-
707	Oakington path (~48°N) is somewhat higher than that of Armidale (~36°N). None-the-
708	less the very broad agreement between these earlier (UK and Australian) observations
709	and those reported here seems quite reasonable.

711 **7. Summary and Conclusions**

712 Characteristics of VLF radio propagation conditions near dawn and dusk in the lower 713 D region (altitudes \sim 55-90 km) of the Earth's ionosphere were determined using 714 phases and amplitudes of subionospheric VLF radio waves. The VLF waves analysed 715 propagated along either fairly short paths (~300-1000 km) or along paths which 716 aligned with the dawn/dusk terminator (to keep the SZA fairly constant along the 717 path). For most paths the phases and amplitudes observed at the receiver near (say) 718 dawn (or dusk) were measured relative to those observed at mid-day on the same day; 719 these differences were then added to the corresponding phase or amplitude calculated 720 for mid-day from previously determined D region parameters to get dawn/dusk values independent of the phase or amplitude at the transmitter. Such mid-day calculations
are likely to be more accurate at low latitudes or in summer (or at least, not in winter
when the *D* region is more variable).

724 The VLF propagation conditions were characterized with the two 'Wait' parameters,

725 *H'* as a measure of the height and β as a measure of the sharpness (or slope) of the

electron densities in the lower *D* region. The work reported here enabled these two

parameters to be determined as functions of SZA in 3 latitude ranges: mid-latitudes,

128 low latitudes and high mid-latitudes. For the first time these parameters have been

able to be determined through dawn and dusk which should not only provide

observational data for modeling the *D* region at these times but also extend the

coverage range of VLF propagation monitoring for geophysical phenomena such as

732 lightning, solar flares, and energetic particle precipitation.

733 The plots of H' and β against SZA also illustrate geophysical effects in the D region

itself, including the greater role (and so SZA-dependence) of solar EUV (Lyman- α) at

low and mid-latitudes and the greater role of galactic cosmic rays (and so

736 SZA-independence) at increasingly higher mid-latitudes. In particular, the marked

minimum observed in H' versus SZA at dawn at mid-latitudes (due to the release of

cosmic ray generated electrons accumulated over night) reported here for the first

time, shows how very different dawn propagation conditions are from dusk at mid-

740 latitudes. Again, the observed absence of such a minimum at low latitudes emphasizes

the reduced cosmic ray activity there compared with mid- and higher latitudes and

also emphasizes that the dawn day-night transition in the *D* region is very different at

743 low latitudes and mid-latitudes.

745 **Data Availability Statement**

- 746 The data underlying our VLF observations reported here are available at
- 747 <u>http://doi.org/10.5281/zenodo.14210954</u> or (in some cases) from Thomson et al.
- 748 (2007, 2012, 2014, 2017).
- 749 The FIRI-2018 model profiles are available from
- 750 https://figshare.com/s/357cb03b3e5bed649bbc (Friedrich et al., 2018) or
- 751 <u>https://figshare.com/search?q=FIRI-2018</u>
- 752 US Navy code LWPC is available at <u>https://github.com/mlhutchins/LWPC</u>
- 753 The US Navy computer program referred to here as ModeFinder is a slightly modified
- version of MODEFNDR (e.g., Thomson, 1993; Nunn & Strangeways, 2000) and
- 755 MODESRCH described and listed in Morfitt & Shellman (1976).
- 756 Solar zenith angles were determined from NOAA's solar calculator at
- 757 <u>https://gml.noaa.gov/grad/solcalc/</u>, or, often more conveniently and appropriately for
- this study, from their spreadsheets at
- 759 (https://gml.noaa.gov/grad/solcalc/calcdetails.html).
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764 Acknowledgements

- 765 Many of the VLF observations used here were supported by funding from the UK
- 766 Research and Innovation (UKRI-NERC) through National Capability Space
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1095 **Figure Captions**

- **Figure 1.** VLF paths used here to determine ionospheric *D* region characteristics
- 1098 particularly around dawn and dusk.
- **Figure 2.** (Top two panels) Phase (degrees) and amplitude (dB) observed at
- 1100 Oakington, Cambridge, UK, versus time (0-12 UT) received from the 45.9 kHz
- 1101 transmitter NSY near Niscemi, Sicily, 30 July 4 August 2005.
- 1102 (Middle two panels) Same data as in the top two panels but for an expanded time
- scale about dawn (3.2-4.6 UT)
- 1104 (Bottom two panels) ModeFinder calculations for this path of the phase (degrees) and
- 1105 amplitude (dB > 1 μ V/m) as functions of height, H' and sharpness, β .
- **Figure 3.** Height parameter, *H'* versus SZA inferred from VLF observations.
- 1107 (Top two panels) Mid-latitude values of H' versus SZA for morning/dawn (left) and
- 1108 afternoon/dusk (right).
- 1109 (Middle two panels) Low latitude values of *H'* versus SZA for morning/dawn (left)
- 1110 and afternoon/dusk (right).
- 1111 (Bottom two panels) High mid-latitude values of *H'* versus SZA for morning/dawn
- 1112 (left) and afternoon/dusk (right).
- 1113 **Figure 4.** Sharpness parameter, β , versus SZA inferred from VLF observations.
- 1114 (Top two panels) Mid-latitude values of β versus SZA for morning/dawn (left) and
- 1115 afternoon/dusk (right).
- 1116 (Middle two panels) Low latitude values of β versus SZA for morning/dawn (left) and
- 1117 afternoon/dusk (right).
- 1118 (Bottom two panels) High mid-latitude values of β versus SZA for morning/dawn
- 1119 (left) and afternoon/dusk (right).

- **Figure 5.** Electron number density height profiles at dawn (SZA = -90°) at mid-
- 1121 latitude. The green circles are from the data, reported by Mechtly & Smith (1970),
- 1122 from a rocket flown at Wallops Island (38°N), Virginia, USA, on 24 July 1968. The
- 1123 blue circles are the same rocket data but raised in height by 2 km (see text). The
- 1124 heavy black line with square plot symbols is from the NSY-Cambridge VLF path,
- 1125 30 July 4 Aug 2005, where H' = 72.75 km and $\beta = 0.378$ km⁻¹ matched the data (at
- 1126 SZA=-90°). The orange line at 71 km is indicating the greatest height at which the
- 1127 VLF propagation on this path is sensitive to the electron number density. The purple
- diamonds are from the FIRI-2018 rocket-based model at dawn (SZA=-90°), for July at
- 1129 latitude 45°.





Figure 1. VLF paths used here to determine ionospheric *D* region characteristics

- **particularly** around dawn and dusk.



Figure 2. (Top two panels) Phase (degrees) and amplitude (dB) observed at 1138

1140 transmitter NSY near Niscemi, Sicily, 30 July – 4 August 2005.

(Middle two panels) Same data as in the top two panels but for an expanded time 1141

- 1142 scale about dawn (3.2-4.6 UT)
- 1143 (Bottom two panels) ModeFinder calculations for this path of the phase (degrees) and
- 1144 amplitude (dB > 1 μ V/m) as functions of height, H' and sharpness, β .
- 1145

¹¹³⁹ Oakington, Cambridge, UK, versus time (0-12 UT) received from the 45.9 kHz







1149 (Top two panels) Mid-latitude values of H' versus SZA for morning/dawn (left) and

- 1150 afternoon/dusk (right).
- 1151 (Middle two panels) Low latitude values of H' versus SZA for morning/dawn (left)
- 1152 and afternoon/dusk (right).
- 1153 (Bottom two panels) High mid-latitude values of H' versus SZA for morning/dawn
- 1154 (left) and afternoon/dusk (right).





b





1158 (Top two panels) Mid-latitude values of β versus SZA for morning/dawn (left) and

1159 afternoon/dusk (right).

1160 (Middle two panels) Low latitude values of β versus SZA for morning/dawn (left) and

- 1161 afternoon/dusk (right).
- 1162 (Bottom two panels) High mid-latitude values of β versus SZA for morning/dawn
- 1163 (left) and afternoon/dusk (right).
- 1164





Figure 5. Electron number density height profiles at dawn (SZA = -90°) at mid-latitude. The green circles are from the data, reported by Mechtly & Smith (1970), from a rocket flown at Wallops Island (38°N), Virginia, USA, on 24 July 1968. The blue circles are the same rocket data but raised in height by 2 km (see text). The heavy black line with square plot symbols is from the NSY-Cambridge VLF path, 30 July – 4 Aug 2005, where H' = 72.75 km and $\beta = 0.378$ km⁻¹ matched the data (at SZA=-90°). The orange line at 71 km is indicating the greatest height at which the VLF propagation on this path is sensitive to the electron number density. The purple diamonds are from the FIRI-2018 rocket-based model at dawn (SZA=-90°), for July at latitude 45°. XXX

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.

